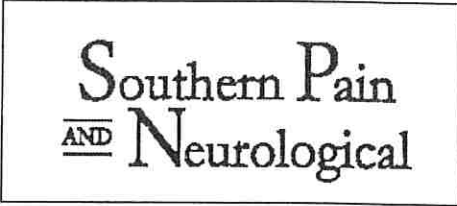
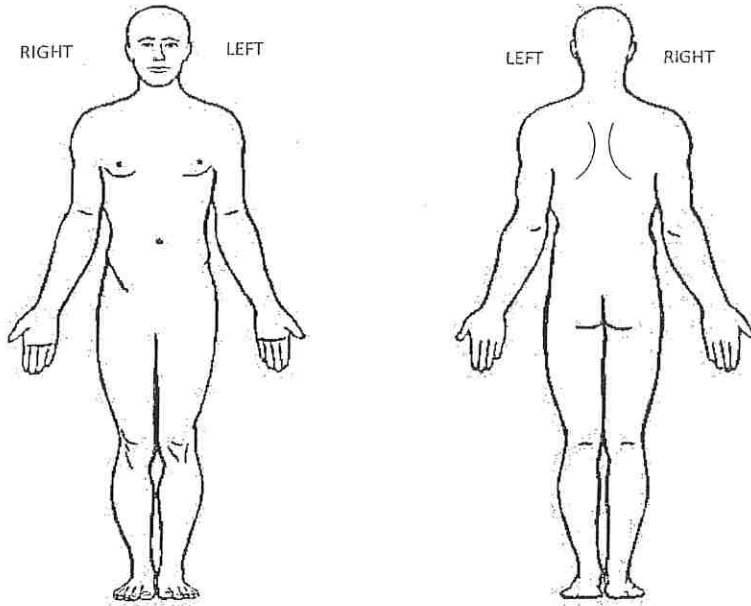


New Patient

Please mark the parts of the body where you have pain



How do you rate your pain?



Please **circle** your answers:

<p>Describe your pain:</p> <ul style="list-style-type: none"> Aching Burning Deep, Diffuse Discomforting Dull Electrical Localized Piercing, Sharp Shooting Stabbing Superficial Throbbing 	<p>Timing and frequency of your pain:</p> <ul style="list-style-type: none"> Continuous and Constant Continuous, but variable intensities Intermittent Progressive Variable Daily Weekly 	<p>Aggravated by:</p> <ul style="list-style-type: none"> Stairs Daily activities Driving Exercise 1st steps in the morning Leaning back Leaning forward Movement Physical activity Sitting Standing Walking 	<p>Relieved by:</p> <ul style="list-style-type: none"> Brace Heat Ice Injections Leaning back Leaning forward Lying Down Medications Sitting Standing Stretching Walking
<p>Do you have these symptoms:</p> <ul style="list-style-type: none"> Numbness Tingling Weakness Loss of bowel or bladder control Pain with coughing or having a BM 			

Onset of CURRENT episode of pain: _____ weeks, _____ months

Have you had physical therapy in the past 3 months? Yes or No PT Facility: _____

Activities of Daily Living: Not affected or Unable to perform (Specify: _____)

Difficulty sleeping? Yes or No (If yes, is it because of pain? Yes or No)

Are you currently working? Yes or No

Patient Name: _____ DOB: _____ Date: _____

SOCIAL HISTORY

Marital Status (Please check ONE)

Married Divorced Widowed Separated Single

Do you have any children? Yes (how many _____) No

Do you use illicit drugs? Yes No

If yes, please explain _____

Do you drink alcohol? Yes No Formerly

What type of alcohol? _____

How many glasses? _____ Per: Day Week Month

Do you use tobacco? Yes No Formerly

Type of tobacco Cigarette How many packs? _____ per: Day Week Month

Cigar How many? _____ per: Day Week Month

Pipe How many? _____ per: Day Week Month

E-Cigarette How many? _____ per: Day Week Month

Do you consume caffeine? Yes No Formerly

What type? Coffee Tea Soda Energy Drinks

How many glasses? _____ Per: Day Week Month

FAMILY HISTORY

To the best of your knowledge, did either parent suffer from any of the following?

	Mother	Father		Mother	Father
ADD/ADHD	<input type="checkbox"/>	<input type="checkbox"/>	Diabetes	<input type="checkbox"/>	<input type="checkbox"/>
Alcoholism	<input type="checkbox"/>	<input type="checkbox"/>	High Blood Pressure	<input type="checkbox"/>	<input type="checkbox"/>
Drug Abuse	<input type="checkbox"/>	<input type="checkbox"/>	Mental Illness	<input type="checkbox"/>	<input type="checkbox"/>
Arthritis/Degenerative Jts	<input type="checkbox"/>	<input type="checkbox"/>	Muscle Disease	<input type="checkbox"/>	<input type="checkbox"/>
Coronary Artery Disease	<input type="checkbox"/>	<input type="checkbox"/>	Osteoporosis	<input type="checkbox"/>	<input type="checkbox"/>
Depression	<input type="checkbox"/>	<input type="checkbox"/>	Parkinson's Disease	<input type="checkbox"/>	<input type="checkbox"/>
Cancer	<input type="checkbox"/>	<input type="checkbox"/>	Stroke	<input type="checkbox"/>	<input type="checkbox"/>
Type of cancer: _____			Autoimmune Disease	<input type="checkbox"/>	<input type="checkbox"/>

OCCUPATION/WORK STATUS

What is your current occupation? _____

What is your current work status? (Please check ONE)

Full Time Part Time Disabled
 Unemployed Retired Other _____

Retirement/Disability Date _____

Is your pain related to a worker's compensation claim/injury? Yes No

If yes, date of injury _____

If yes, current work status Not working Working w/ restrictions Working without restrictions

Are you currently involved in litigation? (Is a lawyer involved because of the injury?) Yes No

Attorney Name _____

Attorney Address _____

Phone _____ Fax _____

Patient Name: _____ **DOB:** _____ **Date:** _____

PROVIDER LIST

Please list all your treating physicians

	Physician	Phone Number
Cardiologist		
PCP/Internist		
Pulmonologist		
Endocrinologist		
Oncologist		
Neurosurgeon		
Other		
Other		
Other		

PREFERRED FACILITIES:

If you have a facility preference, please list below

	Preferred Facility Name	Phone Number
Imaging (MRIs, CTs)		
Physical Therapy		
Lab Work		

Patient Name: _____ DOB: _____ Date: _____

Check ALL medications you have taken to try to improve your pain

Circle "Y" if it was helpful, Circle "N" if it was NOT helpful

Anti-inflammatory Meds			Antidepressant/anti-anxiety			Pain Medication		
Tylenol (acetaminophen)	Y	N	Elavil (amitriptyline)	Y	N	Lortab	Y	N
Aleve (naprosyn)	Y	N	Doxepin (sinequan)	Y	N	Lorcet	Y	N
Daypro (oxaprozin)	Y	N	Pamelor (nortriptyline)	Y	N	Vicodin	Y	N
Feldene (piroxicam)	Y	N	Effexor (venlafaxine)	Y	N	Norco	Y	N
Lodine (Etodolac)	Y	N	Paxil (paroxetine)	Y	N	Percocet	Y	N
Voltaren	Y	N	Prozac (fluoxetine)	Y	N	Percodan	Y	N
Ansaid	Y	N	Zoloft (sertraline)	Y	N	oxycodone	Y	N
Relafen (nabumetone)	Y	N	Seroquel	Y	N	Roxicodone	Y	N
Arthrotec	Y	N	Buspar (buspirone)	Y	N	hydrocodone	Y	N
Celebrex	Y	N	Celexa (citalopram)	Y	N	tramadol	Y	N
Mobic (meloxicam)	Y	N	Lexapro (escitalopram)	Y	N	Tylenol #3	Y	N
Advil (ibuprofen)	Y	N	Wellbutrin (bupropion)	Y	N	Tylox	Y	N
Motrin	Y	N	Cymbalta (duloxetine)	Y	N	Darvocet (propoxyphene)	Y	N
Oruvail (ketoprofen)	Y	N	Remeron (mirtazapine)	Y	N	Demerol	Y	N
Clinoril (sulindac)	Y	N	Trazodone (desyrel)	Y	N	Morphine	Y	N
aspirin	Y	N	Fetzima	Y	N	OxyContin	Y	N
Dolobid	Y	N	Pristiq	Y	N	MS Contin	Y	N
diclofenac	Y	N	Klonopin	Y	N	Duragesic (fentanyl)	Y	N
Toradol (ketorolac)	Y	N	Nerve Pain Medication			Dilaudid	Y	N
Migraine Medication			Neurontin (gabapentin)	Y	N	Kadian	Y	N
Imitrex	Y	N	Topamax (topiramate)	Y	N	Avinza	Y	N
Axert	Y	N	Gabitril	Y	N	Methadone	Y	N
Relpax	Y	N	Zonegran	Y	N	Xodol	Y	N
Amerge	Y	N	Lamictal (lamotrigine)	Y	N	Tylenol #4	Y	N
Maxalt	Y	N	Tegretol	Y	N	Actiq	Y	N
Zomig	Y	N	Dilantin	Y	N	Ultracet	Y	N
Cafergot	Y	N	Lyrica (pregabalin)	Y	N	Ultram	Y	N
Midrin	Y	N	Horizant	Y	N	Fioricet	Y	N
Depakote (valproic acid)	Y	N	Gralise	Y	N	Fiorinal	Y	N
Topamax	Y	N	Muscle Relaxers			Talacen	Y	N
Sleep Aids			Soma (carisoprodol)	Y	N	Vicoprofen	Y	N
Ambien (zolpidem)	Y	N	Robaxin (methocarbamol)	Y	N	codeine	Y	N
Sonata	Y	N	Flexeril (cyclobenzaprine)	Y	N	Butrans	Y	N
Lunesta	Y	N	Skelaxin (metaxalone)	Y	N	Hysingla	Y	N
Rozeram	Y	N	Zanaflex (tizanidine)	Y	N	Nucynta	Y	N
Chloral hydrate	Y	N	baclofen (Lioresal)	Y	N	Zohydro	Y	N
Restoril (temazepam)	Y	N	Parafon Forte (chlorzoxazone)	Y	N	Suboxone	Y	N
Ativan	Y	N	Valium (diazepam)	Y	N	Xtampza	Y	N
Doxepin	Y	N	Benzodiazepine Meds					
Vistaril	Y	N	Ativan (lorazepam)	Y	N			
Halcion	Y	N	Valium (diazepam)	Y	N			
			Xanax (alprazolam)	Y	N			

Patient Name: _____ DOB: _____ Date: _____

OPIOID SAFETY SURVEY

Because there is an addiction risk with opioid medicines, we must understand your history before we set a treatment plan for you. Please circle "Yes" or "No" in the chart below as the case applies to you today or in the past.

Circle YES or NO for each question			
Do YOU have a history of substance abuse of any of the following?			
	Alcohol	YES	NO
	Illegal Drugs	YES	NO
	Prescription Drugs	YES	NO
Do you have a FAMILY history of substance abuse of any of the following?			
	Alcohol	YES	NO
	Illegal Drugs	YES	NO
	Prescription Drugs	YES	NO
Are you between 16-45 years old?		YES	NO
Were you sexually abused as a child?		YES	NO
Have you ever been diagnosed with any of the following mental health conditions?			
	ADD, OCD, bipolar, schizophrenia	YES	NO
	Depression	YES	NO

Patient Name: _____ DOB: _____ Date: _____

OSWESTRY DISABILITY INDEX

This questionnaire is designed to give us information as to how your pain affects your ability to manage in everyday life. Please answer **every section**. Check **one box only in each section** that most closely describes you **today**.

Section 1 – Pain Intensity

- I have no pain at the moment.
- The pain is very mild at the moment.
- The pain is moderate at the moment.
- The pain is fairly severe at the moment.
- The pain is very severe at the moment.
- The pain is the worst imaginable at the moment.

Section 2 – Personal Care (washing, dressing, etc.)

- I can look after myself normally without causing extra pain.
- I can look after myself normally but it is very painful.
- It is painful to look after myself and I am slow and careful.
- I need some help but manage most of my personal care.
- I need help every day in most aspects of self care.
- I do not get dressed, wash with difficulty and stay in bed.

Section 3 – Lifting

- I can lift heavy weights without extra pain.
- I can lift heavy weights but it gives extra pain.
- Pain prevents me from lifting heavy weights off the floor but I can manage if conveniently positioned (i.e. on a table).
- Pain prevents me from lifting heavy weights but I can manage light to medium weights if conveniently positioned.
- I can lift only very light weights.
- I cannot lift or carry anything at all.

Section 4 – Walking

- Pain does not prevent me walking any distance.
- Pain prevents me walking more than one mile.
- Pain prevents me walking more than a quarter of a mile.
- Pain prevents me walking more than 100 yards.
- I can only walk using a cane, crutches or walker.
- I am in bed most of the time and have to crawl to the toilet.

Section 5 – Sitting

- I can sit in any chair as long as I like.
- I can sit in my favorite chair as long as I like.
- Pain prevents me from sitting more than 1 hour.
- Pain prevents me from sitting for more than half an hour.
- Pain prevents me from sitting at all.
- Pain prevents me from sitting for more than 10 minutes.

Section 6 – Standing

- I can stand as long as I want without extra pain.
- I can stand as long as I want but it gives me extra pain.
- Pain prevents me from standing for more than 1 hour.
- Pain prevents me from standing more than half an hour.
- Pain prevents me from standing more than 10 minutes.
- Pain prevents me from standing at all.

Section 7 – Sleeping

- My sleep is never disturbed by pain.
- My sleep is occasionally disturbed by pain.
- Because of pain I have less than 6 hours of sleep.
- Because of pain I have less than 4 hours of sleep.
- Because of pain I have less than 2 hours of sleep.
- Pain prevents me from sleeping at all.

Section 8 – Sex Life (if applicable)

- My sex life is normal and causes no extra pain.
- My sex life is normal but causes some extra pain.
- My sex life is nearly normal but is very painful.
- My sex life is severely restricted by pain.
- My sex life is nearly absent because of pain.
- Pain prevents any sex life at all.

Section 9 – Social Life

- My social life is normal and causes me no extra pain.
- My social life is normal but increases the degree of pain.
- Pain has no significant effect on my social life apart from limiting my more energetic interests, i.e. sports, etc.
- Pain has restricted my social life and I do not go out as often.
- Pain has restricted my social life to home.
- I have no social life because of pain.

Section 10 – Traveling

- I can travel anywhere without pain.
- I can travel anywhere but it gives extra pain.
- Pain is bad but I manage journeys over 2 hours
- Pain restricts me to journeys of less than 1 hour.
- Pain restricts me to short journeys under 30 minutes
- Pain prevents me from traveling except to receive treatment.

1/12/2023

Patient name: _____

DOB: _____ Date: _____

REVIEW OF SYSTEMS

Are you **CURRENTLY** experiencing any of these symptoms

Constitutional

- No Yes Fever
 No Yes Unexplained weight gain
 No Yes Unexplained weight loss
 Other: _____

Head, Eyes, Ears, Nose & Throat

- No Yes Ear drainage
 No Yes Nasal drainage
 No Yes Sinus pressure
 No Yes Sore throat
 Other: _____

Respiratory

- No Yes Chronic cough
 No Yes Cough
 No Yes Known TB exposure
 No Yes Shortness of breath
 No Yes Sore throat
 Other: _____

Cardiovascular

- No Yes Chest pain
 No Yes Swelling
 No Yes Palpitations
 Other: _____

Gastrointestinal

- No Yes Constipation
 No Yes Diarrhea
 No Yes Nausea
 No Yes Vomiting
 Other: _____

Genitourinary

- No Yes Painful urination
 No Yes Blood in urine
 No Yes Urinary retention
 Other: _____

Reproductive

- No Yes Erectile dysfunction
 Other: _____

Integumentary

- No Yes Brittle hair
 No Yes Brittle nails
 No Yes Hair loss
 No Yes Itching
 No Yes Rash
 Other: _____

Neurological

- No Yes Dizziness
 No Yes Extremity numbness
 No Yes Extremity weakness
 No Yes Trouble walking
 No Yes Headaches
 No Yes Memory loss
 No Yes Seizures
 No Yes Tremors
 Other: _____

Psychiatric

- No Yes Anxiety
 No Yes Depression
 No Yes Insomnia
 Other: _____

Musculoskeletal

- No Yes Back pain
 No Yes Joint pain
 No Yes Joint swelling
 No Yes Muscle weakness
 No Yes Neck pain
 Other: _____

Hematologic/Lymphatic

- No Yes Easy bleeding
 No Yes Easy bruising
 Other: _____

1/12/2023

Patient Name: _____

DOB: _____ Date: _____

Southern Pain AND Neurological

REGISTRATION FORM (PLEASE PRINT)

Today's date: _____ Race: _____ Ethnicity: _____

Referring Physician: _____ PCP: _____

PATIENT INFORMATION

Patient Name (Last, First, Middle): _____ DOB: _____

Is this your legal name? Yes No (If no, what is your legal name?): _____

Marital Status: Single Mar Div Sep Wid SSN: _____ DOB: _____ Age: _____ Sex: M F

Street Address: _____

City: _____ State: _____ Zip: _____

Mobile #: _____ Home #: _____ email: _____

Employer: _____ Occupation: _____ Work #: _____

PERSON WE MAY SPEAK WITH REGARDING YOUR HEALTH

Name: _____ Phone #: _____ Relationship: _____

INSURANCE INFORMATION

Primary Insurance: _____ Commercial Medicare Workers Comp Attorney

Subscriber Name: _____ Subscriber SSN: _____ Subscriber DOB: _____

Secondary Insurance: _____ Subscriber Name: _____

Patient's Relationship to Subscriber: Self Spouse Child Other _____

EMERGENCY CONTACT

Name: _____ DOB: _____

Relationship to Patient: _____ Phone #: _____

The above information is true to the best of my knowledge. I authorize my insurance benefits be paid directly to the physician. I understand that I am financially responsible for any balance. I also authorize Southern Pain and Neurological, or insurance company to release any information required to process my claims.

Patient/Guardian Signature

Date

1/12/2023

NOTICE OF PRIVACY PRACTICES ACKNOWLEDGEMENT

Southern Pain & Anesthesia Consultants, LLC
Paul J. Hubbell, III, M.D.

I understand that, under the Health Insurance Portability & Accountability Act of 1996 ("HIP AA"), I have certain rights to privacy regarding my protected health information. I understand that this information can and will be used to:

- Conduct, plan, and direct my treatment and follow-up among the multiple health care providers who may be involved in that treatment directly and indirectly.
- Obtain payment from third-party payers.
- Conduct normal health care operations such as quality assessments and physician certifications.

I have received, read, and understand your Notice of Privacy Practices containing a more complete description of the uses and disclosures of my health information. I understand that this organization has the right to change its Notice of Privacy Practices from time to time and that I may contact this organization at any time at the address listed above to obtain a current copy of the Notice of Private Practices.

I understand that I may request in writing that you restrict how my private information is used or disclosed to carry out treatment, payment, or health care operations. I also understand you are not required to agree to my requested restrictions, but if you do agree then you are bound to abide by such restrictions.

Patient Name: _____

Relationship to Patient: _____

Patient Signature: _____

Date: _____

OFFICE USE ONLY

I attempted to obtain the patient's signature in acknowledgment on this Notice of Privacy Practices Acknowledgment, but was unable to do so as documented below:

Date: _____

Initials: _____

Reason: _____

AUTHORITY NOTE: Promulgated in accordance with R.S. 37:1270(A)(1), 37:1270(B)(6), and 37:1285(B). HISTORICAL NOTE: Promulgated by the Department of Health and Hospitals, Board of Medical Examiners, LR 23:727 (June 1997), amended LR 26:694 (April 2000)

§6923. Effect of Violation

A. Any violation of or failure of compliance with the provisions of this Subchapter, §§6915-6923, shall be deemed a violation of R.S. 37: 1285.A(6) and (14), providing cause for the board to suspend or revoke, refuse to issue, or impose probationary or other restrictions on any license held or applied for by a physician to practice medicine in the state of Louisiana culpable of such violation.

AUTHORITY NOTE: Promulgated in accordance with R.S. 37:1270(A)(1), 37:1270(B)(6), and 37:1285(B). HISTORICAL NOTE: Promulgated by the Department of Health and Hospitals, Board of Medical Examiners, LR 23:728 (June 1997), amended LR 26:695 (April 2000)

I have read and understand this document. A signed copy of this document has been given to me.

Patient Signature

Date

Witness Signature

Date

1/12/2023

**SOUTHERN PAIN and NEUROLOGICAL
MEDICATION/PHARMACY AGREEMENT**

This agreement applies to prescriptions for ANY medications

Primary Pharmacy: Name: _____

Address: _____

Phone #: _____

Secondary Pharmacy: Name: _____

Address: _____

Phone #: _____

If you choose to change your pharmacy, please notify the office as soon as possible.

PRESCRIPTION REFILL PHONE # 1-800-419-0462

Prescriptions will only be refilled Monday – Thursday from 8am – 4pm. Prescriptions will not be refilled after hours, or on Friday, Saturday, Sunday and holidays. Calls for refills will be taken Monday – Friday from 8am – 4pm. **Please call one week (7 days) in advance for your refill.** Failure to call one week (7 days) in advance for your refill may result in a delay in receiving your prescription. ALL prescriptions will be sent directly to your pharmacy through e-scribe software. **Please call your PHARMACY to assess if the prescription is ready for pickup.** The pharmacy will allow you to pick up the medication when it is due to start taking the medication.

Patient Date

Witness Date

OFFICE USE ONLY

Copy Given to Patient: Initials: _____ Date: _____

**SOUTHERN PAIN and NEUROLOGICAL
CONTROLLED SUBSTANCE AGREEMENT**

I understand that in order to receive any controlled substances from Southern Pain and Neurological I must comply with the following terms and conditions:

If any of the physicians associated with Southern Pain and Neurological prescribe a controlled substance, included but not limited to tramadol, Lyrica or any other pain medications, I can no longer accept prescriptions of controlled substances for pain from any other physician. I am responsible for my medications and early refills will not be issued because of theft, loss or misuse of my medications. I will not take medication that was not prescribed to me and I will not sell or trade my medications. I will follow all directions given to me, by the physician or the pharmacy, as it pertains to the safe use of the medications I am given. I will take my medications as prescribed and if I feel that a change needs to be made I will contact the office for instructions. I understand that random blood or urine drug tests may be performed, without prior notification, to ensure compliance with medications and to screen for any illegal drug use. Evidence of medication misuse, illegal drug use or refusal to submit for the blood or urine drug testing will result in discontinuation of medications and a psychological evaluation by a pain psychologist. If requested, I will bring my medications to the office for a pill count. I will be courteous and respectful to the office staff.

I understand that if I fail to comply with this agreement it may result in termination of my relationship with Southern Pain and Neurological.

I agree to the above terms and conditions, and I will receive a copy of this agreement for my records once it is signed.

Patient _____ Date _____

Witness _____ Date _____

I decline the above agreement and understand that I will not be able to receive any controlled substances from Southern Pain and Neurological until this agreement is accepted and signed, but I can still receive treatment by way of interventional procedures.

Patient _____ Date _____

Witness _____ Date _____

OFFICE USE ONLY

Copy Given to Patient

Initials: _____

Date: _____

Southern Pain AND Neurological

Chapter 69. Prescription, Dispensation and Administration of Medications

Subchapter B. Medications Used in Treatment of Non-Cancer-Related Chronic or Intractable Pain

§6915. Scope of Subchapter

A. The rules of this Subchapter govern physician responsibility for providing effective and safe pain control for patients with noncancer-related chronic or intractable pain.

AUTHORITY NOTE: Promulgated in accordance with R.S. 37:1270(A)(1), 1270(B)(6) and 1285(B). HISTORICAL NOTE: Promulgated by the Department of Health and Hospitals, Board of Medical Examiners LR 23:727 (June 1997), amended LR 26:693 (April 2000)

A. As used in this Subchapter, unless the content clearly states otherwise, the following terms and phrases shall have the meanings specified.

Board- the Louisiana State Board of Medical Examiners.

Chronic Pain- pain which persists beyond the usual course of a disease, beyond the expected time for healing from bodily trauma, or pain associated with a long term-incurable or intractable medical illness or disease.

Controlled Substance- any substance defined, enumerated, or included in federal or state statute or regulations 21 C.F.R. §1308. 11-15 or R.S. 40:964, or any substance which may hereafter be designated as a controlled substance by amendment or supplementation of such regulations and statute.

Diversion- the conveyance of a controlled substance to a person other than the person to whom the drug was prescribed or dispensed by a physician.

Intractable Pain- a chronic pain state in which the cause of the pain cannot be eliminated or successfully treated without the use of controlled substance therapy and, which in the generally accepted course of medical practice, no cure of the cause of pain is possible or no cure has been achieved after reasonable efforts have been attempted and documented in the patient's medical record.

Noncancer-Related Pain- that pain which is not directly related to symptomatic cancer.

Physical Dependence- the physiological state of neuroadaptation to controlled substance which is characterized by the emergence of a withdrawal syndrome if the controlled substance use is stopped or decreased abruptly, or if an antagonist is administered. Withdrawal may be relieved by re-administration of the controlled substance.

Physician- physicians and surgeons licensed by the Board.

Protracted Basis- utilization of any controlled substance for the treatment of noncancer-related chronic or intractable pain for a period in excess of 12 weeks during any 12-month period.

Substance Abuse (may also be referred to by the term *Addiction*)-a compulsive disorder in which an individual becomes preoccupied with obtaining and using a substance, despite adverse social, psychological, and/or physical consequences, the continued use of which results in a decreased quality of life. The development of controlled substance tolerance or physical dependence does not equate with substance abuse or addiction.

Tolerance- refers to the physiologic state resulting from regular use of a drug in which an increased dosage is needed to produce the same effect, or a reduced effect is observed with a constant dose. Controlled substance tolerance refers to the need to increase the dose of the drug to achieve the same level of analgesia. Controlled substance tolerance may or may not be evident during controlled substance treatment.

§6919. General Conditions/Prohibitions

A. The treatment of noncancer-related chronic or intractable pain with controlled substances constitutes legitimate medical therapy when provided in the course of professional medical practice and when fully documented in the patient's medical record. A physician duly authorized to practice medicine in Louisiana and to prescribe controlled substances in this state shall not, however, prescribe, dispense, administer, supply, sell, give, or otherwise use for the purpose of treating such pain, any controlled substance unless done in strict compliance with applicable state and federal laws and the rules enumerated in this Subchapter.

AUTHORITY NOTE: Promulgated in accordance with R.S. 37:1270(A)(1), 37:1270(B)(6) and 37:1285(B). HISTORICAL NOTE: Promulgated by the Department of Health and Hospitals, Board of Medical Examiners LR 23:727 (June 1997), amended LR 26:694 (April 2000)

§6921. Use of Controlled Substances, Limitations

A. Requisite Prior Conditions. In utilizing any controlled substance for the treatment of noncancer-related chronic or intractable pain on a protracted basis, a physician shall comply with the following rules.

1. Evaluation of the Patient. Evaluation of the patient shall initially include relevant medical, pain, alcohol and substance abuse histories, an assessment of the impact of pain on the patient's physical and psychological functions, a review of previous diagnostic studies, previously utilized therapies, an assessment of coexisting illnesses, diseases, or conditions, and an appropriate physical examination.

2. **Medical Diagnosis.** A medical diagnosis shall be established and fully documented in the patient's medical record, which indicates not only the presence of noncancer-related chronic or intractable pain, but also the nature of the underlying disease and pain mechanism if such are determinable.

3. **Treatment Plan.** An individualized treatment plan shall be formulated and documented in the patient's medical record which includes medical justification for controlled substance therapy. Such plan shall include documentation that other medically reasonable alternative treatments for relief of the patient's noncancer-related chronic or intractable pain have been considered or attempted without adequate or reasonable success. Such plan shall specify the intended role of controlled substance therapy within the overall plan, which therapy shall be tailored to the individual medical needs of each patient.

4. **Informed Consent.** A physician shall ensure that the patient and/or his guardian is informed of the benefits and risks of controlled substance therapy. Discussions of risks and benefits should be noted in some format in the patient's record.

B. Controlled Substance Therapy. Upon completion and satisfaction of the conditions prescribed in §6921. A, and upon a physician's judgment that the prescription, dispensation, or administration of a controlled substance is medically warranted, a physician shall adhere to the following rules.

1. **Assessment of Treatment Efficacy and Monitoring.** Patients shall be seen by the physician at appropriate intervals, not to exceed 12 weeks, to assess the efficacy of treatment, assure that controlled substance therapy remains indicated, and evaluate the patient's progress toward treatment objectives and any adverse drug effects. Exceptions to this interval shall be adequately documented in the patient's record. During each visit, attention shall be given to the possibility of decreased function or quality of life as a result of controlled substance treatment. Indications of substance abuse or diversion should also be evaluated. At each visit, the physician should seek evidence of under treatment of pain.

2. **Drug Screen.** If a physician reasonably believes that the patient is suffering from substance abuse or that he is diverting controlled substances, the physician shall obtain a drug screen on the patient. It is within the physician's discretion to decide the nature of the screen and which type of drug(s) to be screened.

3. **Responsibility for Treatment.** A single physician shall take primary responsibility for the controlled substance therapy employed by him in the treatment of a patient's noncancer-related chronic or intractable pain.

4. **Consultation.** The physician should be willing to refer the patient as necessary for additional evaluation and treatment in order to achieve treatment objectives. Special attention should be given to those pain patients who are at risk for misusing their medications and those whose living arrangements pose a risk for medication misuse or diversion. The management of pain in patients with a history of substance abuse or with a comorbid psychiatric disorder may require extra care, monitoring, documentation, and consultation with or referral to an expert in the management of such patients.

5. **Medications Employed.** A physician shall document in the patient's medical record the medical necessity for the use of more than one type or schedule of controlled substance employed in the management of a patient's noncancer-related chronic or intractable pain.

6. **Treatment Records.** A physician shall document and maintain in the patient's medical record, accurate and complete records of history, physical and other examinations and evaluations, consultations, laboratory and diagnostic reports, treatment plans and objectives, controlled substance and other medication therapy, informed consents, periodic assessments, and reviews and the results of all other attempts at analgesia which he has employed alternative to controlled substance therapy.

7. **Documentation of Controlled Substance Therapy.** At a minimum, a physician shall document in the patient's medical record the date, quantity, dosage, route, frequency of administration, the number of controlled substance refills authorized, as well as the frequency of visits to obtain refills.

C. Termination of Controlled Substance Therapy. Evidence or behavioral indications of substance abuse or diversion of controlled substances shall be followed by tapering and discontinuation of controlled substance therapy. Such therapy shall be reinitiated only after referral to and written concurrence of the medical necessity of continued controlled substance therapy by an addiction medicine specialist, a pain management specialist, a psychiatrist, or other substance abuse specialist based upon his physical examination of the patient and a review of the referring physician's medical record of the patient.

**NOTICE OF PRIVACY PRACTICES
(MEDICAL)**

This notice describes how medical information about you may be used and disclosed and how you can get access to this information. Please review it carefully.

The Health Insurance Portability Act of 1996 ("HIPAA") is a federal program that requires that all medical records and other individually identifiable health information used or disclosed by us in any form, whether electronically, on paper, or orally, are kept properly confidential. This Act gives you, the patient, significant new rights to understand and control how your health information is used. "HIPAA" provides penalties for covered entities that misuse personal health information.

As required by "HIPAA", we have prepared this explanation of how we are required to maintain the privacy of your health information and how we may use and disclose your health information.

We may use and disclose your medical records only for each of the following purposes: treatment, payment, and health care operations.

- Treatment means providing, coordinating, or managing health care and related services by one or more health care providers. An example of this would include a physical exam.
- Payment means such activities as obtaining reimbursement for services, confirming coverage, billing or collection activities, and utilization review. An example of this would be sending a bill for your visit to your insurance company for payment.
- Health care operations include the business aspects of running our practice, such as conducting quality assessment and improvement activities, auditing functions, cost-management analysis, and customer service. An example would be an internal quality assessment review.

We may also create and distribute de-identified health information by removing all references to individually identifiable information.

We may contact you to provide appointment reminders or information about treatment alternatives or other health-related benefits and services that may be of interest to you.

Any other uses and disclosures will be made only with your written authorization. You may revoke such authorization, in writing, and we are required to honor and abide by that written request, except to the extent that we have already taken actions relying on your authorization.

You have the following rights with respect to your protected health information, which you can exercise by presenting a written request to the Privacy Officer:

- The right to request restrictions on certain uses and disclosures of protected health information, including those related to disclosures to family members, other relatives, close personal friends, or any other person identified by you. We are, however, not required to agree to a requested restriction. If we do agree to a restriction, we must abide by it unless you agree in writing to remove it.
- The right to reasonable requests to receive confidential communications of protected health information from us by alternative means or at alternative locations.
- The right to inspect and copy your protected health information.

- The right to amend your protected health information.
- The right to receive an accounting of disclosures of protected health information.
- The right to obtain a paper copy of this notice from us upon request.

We are required by law to maintain the privacy of your protected health information and to provide you with notice of our legal duties and privacy practices with respect to protected health information.

This notice is effective as of April 15, 2003, and we are required to abide by the terms of the Notice of Privacy Practices currently in effect. We reserve the right to change the terms of our Notice of Privacy Practices and to make the new notice provisions effective for all protected health information that we maintain. We will post, and you may request, a written copy of a revised Notice of Privacy Practices from this office.

You have recourse if you feel that your privacy protections have been violated. You have the right to file a written complaint with our office, or with the Department of Health and Human Services, Office of Civil Rights, about violations of the provisions of this notice or the policies and procedures of our office. We will not retaliate against you for filing a complaint.

Please contact us for more information:
Southern Pain & Anesthesia Consultants, LLC

Paul J. Hubbell, III, M.D.
3939 Houma Blvd., Suite 6, Bldg. 2
Metairie, LA 70006

For more information about HIPAA or to file a complaint:
The U.S. Department of Health and Human Services
Office of Civil Rights
200 Independence Avenue, S.W.
Washington, D.C. 20201
(202)619-0257
(877)696-6775